

Regulation for Ph.D. Program



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## Academic Regulation for Ph.D. Course

Darshan University (DU) awards the PhD degree in recognition of impressive academic achievement, independent research, and the application of knowledge to problem solving. The academic curriculum leading to the PhD degree is extensive in scope and may include course credit requirements at the University's discretion, as well as a non-optional research thesis. Darshan University will award the degree of Doctor of Philosophy (PhD) to a candidate who meets all of the requirements stipulated in these Ordinances and Regulations.

### Preamble

These Regulations may be called as Darshan University Ph.D. (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations.

## 1. Eligibility Criteria

The Darshan University (DU) has categorized the Ph.D. candidates into two types, Full Time and Part Time. The following sections define the required qualification for all the two categories.

## 1.1 Minimum Educational Requirement

The candidate aspiring admission for Ph.D. must satisfy the below mentioned educational qualification specified as:

- Master's Degree or equivalent degree<sup>1</sup> with 55% Aggregate marks or equivalent CGPA/Grade.
- A relaxation of 5% of marks, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled categories of candidates.

1/ Necessary documents to be provided by the candidate and will be verified by the University Research Council.

# 1.2 Additional Requirements for Ph.D. Admission

Satisfying the needs of minimum qualifications as laid down in Clause 1.1.



- Performance in the Ph.D. Entrance Test to be conducted by Darshan University & fulfilment in the subsequent Interview.
- A relaxation from Ph.D. Entrance Test<sup>2</sup> for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil. Programme.
- A relaxation from Ph.D. Entrance Test<sup>2</sup> for that candidate, who is having 10 years or more experience in Academics/Industry/Research or equivalent.

  2/ Relevant document is required for the exemption in the entrance test.
- The students admitted to the Ph.D. program will be required to register in the university. The registration will be renewed every stage on satisfactory progress. List of programs will be announced timely in the appropriate communication media.
- After registration, the candidate will be termed as a research scholar.

## 1.3 Scholarship / Financial Assistance

- The scholarship may be awarded to meritorious students for doing Full-time Ph.D. as per University rules and regulations.
- Part time and sponsored research scholars shall not be awarded any research fellowship/ assistantship by the University.
- Other financial assistance will be governed as per university rules and regulations.
- Full time research scholar has to report at university on the regular basis.

# 2 Programme Duration

- Ph.D. programme shall be for a minimum duration of three years, including course
   work and a maximum of six years.
- Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance/Regulations of the university. In such cases the Vice Chancellor or Nominated person will be the final authority.
- The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In



addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

## 3 Supervisor

As per UGC (Minimum Standards and Procedure for Award of M. Phil/Ph.D. Degrees) Regulations, 2016) norms, the following persons are eligible to as Supervisor for the Darshan University Ph.D. program:

## 3.1 Eligibility criteria for Ph.D. Supervisor

- Any regular faculty member of Darshan University with at least five research publications in refereed journals\* (approved by university research council) with a Ph.D. degree may be recognized as Research Supervisor.
- A person who desires to be a Ph.D. supervisor has to submit detailed curriculum vitae in a prescribed format with necessary supporting documents to the Registrar's Office.

### \* As per the clause 5.2

## 3.2 Eligibility criteria for Ph.D. Co-Supervisor

- Co-Supervisor can be allowed in inter-disciplinary areas from other departments
  of the same institute or from other related institutions with the approval of the
  Research Committee.
- Co-Supervisor can be from outside Darshan University and has to full fill the other requirements, as per UGC guidelines
- A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three Eight (8) Ph.D. scholars.
- An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.
- The upper limit of number of candidates includes all full-time and part time research scholars, put together under a Supervisor or Co-supervisor.
- While calculating the number of candidates, in case of combined supervision (one Supervisor and one Co Supervisor), the load should be equally divided



among the supervisors; e.g.in case of two supervisors allotted to a candidate, the load of each supervisor shall be 0.5.

Provided further that no relative of the candidate as may be deemed a "close relation" by the Vice Chancellor shall not be appointed as Supervisor/Co-Supervisor.

## 3.3 Allocation of Supervisor

The RC shall decide on the allotment of a supervisor for each of the selected research scholars, depending on the number of research scholars available per supervisor, the available specializations with the supervisors, and the research interest of the research scholar as indicated during the interview.

# 3.4 Doctoral Research Committee (DRC)

Doctoral Research Committee (DRC) is to be constituted by the RC for each research scholar comprising of

- Representative (s) of RC (Chairperson)
- Supervisor (s)
- Representative member (s) of the department
- Expert conversant with the research.

#### 3.4.1 Functions of DRC

The following activities are to be carried out by DRC.

- To Review the Research Work of each research scholar.
- To guide the research scholar to develop study design & methodology of research & identify the course that they have to do.
- To forward the progress reports of research scholar to RC on timely basis.
- To recommend the draft thesis presentation for approval by RC.

### 4 Course Work

### 4.1 Course work Credits

Every research scholar shall have to do course work for a minimum of six months. Minimum credits for the course work shall be 12 credits.



### 4.2 Course work Subjects

The course work shall include at least three courses, namely

- A minimum 4-credit course on research methodology (may include quantitative methods and computer applications) or a course proposed by the RC (for those who have studied a similar course on Research Methodology at PG level).
- A minimum 4-credit professional/technical course related to the department /faculty of the research scholar. (if not offered by any Department, its syllabus to be proposed by the allocated supervisor and approved by RC)
- A minimum 4-credit professional/technical course related to the research field of the scholar. (if not offered by any Department, its syllabus to be proposed by the allocated supervisor and approved by RC)
- A scholar, who has completed his/her bachelor and master program in regional language other than English, will have to undergo university level audit course in the English language.

### 4.3 Course Work Rules

- 1. The process of registration in the course work, examination, evaluation and grading shall be same as followed according to PG programmes and it will be governed by the examination section.
- 2. Only those candidates who successfully complete the course work within one year of admission and with a minimum CGPA of 6.00 on 10.00-point scale shall be allowed to continue the Ph.D. programme.
- 3. Courses may also be prescribed from those offered by university other than that in which the research scholar is registered. No change in courses prescribed shall be made without the approval of the RC. It shall, however, be open to the DRC to prescribe additional courses wherever found necessary.
- 4. If research scholar who secures less than 6.00 CGPA, will be given another chance for repeating one or more of the courses or for taking an alternative course (to be identified by the DRC) to obtain the minimum percentage of marks. If the research scholar fails in the second attempt to secure 6.00 or more CGPA, his/her registration will be cancelled. However, giving this chance arises



only if all the course work can be completed within the extended period of six months over the maximum time limit of one year.

#### 5 Evaluation & Assessment

- A research scholar has to undertake courses, earn credits and get approved the research proposal as mentioned in article 4.
- Research scholar must submit the bi-annual Research Progress Report (RPR) in prescribed format. DRC will evaluate the progress of the research scholar and forward to the RC for approval.
- Every DRC Evaluation will be considered for partial fulfilment of the award of the Ph.D. degree.
- On achieving a satisfactory level for the award of the Ph.D. degree, DRC will evaluate pre-synopsis.

### 5.1 Synopsis submission

- Synopsis will be submitted to the RC for the approval. After approval of at least
   5 RPR from RC, research scholar has to submit synopsis to Examination Section as under.
- All research scholars shall write their synopsis in English language. Research scholars can submit synopsis covering relevant points of the research work carried out as per the University format.
- Research scholar should submit 2 copies of the synopsis after approval and within ONE month to the University.
- Synopsis submission fees will be applicable as per norms of the Darshan University.
- A panel of at least six reputed reviewers (within India /foreign countries) with brief bio-data will be recommended by RC. Synopsis may be sent by the Vice Chancellor to the renowned researchers for seeking consent to evaluate thesis. The Vice Chancellor reserves the right to appoint two reviewers for the thesis evaluation.



### 5.2 Thesis Submission for Initial Evaluation

- FOUR (4) copies of Ph.D. thesis shall be submitted within ONE year from the date of submission of the synopsis.
- If this period exceeds one-year research scholar will have to apply for an extension to the RC. Research Council may allow the extension of maximum for one year.
- If the research scholar fails to submit a thesis within the extended period by RC, research scholar ceases to be a research scholar.
- Research scholar has to publish (Accepted) at least TWO research papers (excluded literature survey papers), from the list of Science Citation Index (SCIindexed), ABDC, SCOPUS-indexed/listed journals after the peer-review or has one patent granted.
- In case of other high-quality journals, not available in the above listed databases, the Vice Chancellor may relax the above condition on recommendations of a Committee constituted by the research council with two external experts (designation equivalent to a Professor or above) and one Professor of the concerned institute / department/area as the members.
- It is desired that research scholar should file a patent as the first researcher before the submission of the thesis for evaluation.
- Format of the thesis should be as per the university norms.
- Similarity check index is required as per the UGC norms.

#### 5.3 Thesis Evaluation

The reviewers may give their recommendations in the detailed format and opinion about the work viz.

- Accept as it is or
- Accept with minor revision or
- Accept with major revision or
- Rejected.



- In the case of major differences of opinions and recommendations of the two reviewers, Vice Chancellor may take advice and recommendation of the third reviewer.
- ii. If TWO reviewers consider the thesis unsuitable for the award of the degree, the thesis is considered as rejected. Research scholar will have to apply for registration again after approval from RC.
- iii. Accepted thesis with major corrections may be resubmitted after due revision. The thesis will be sent to the reviewers again for the review.
- iv. Accepted thesis with minor corrections will be submitted after incorporating necessary corrections for approval by RC.
- v. The accepted thesis will be considered for submission after approval of RC.
- vi. The open defense of the Ph.D. research scholar shall be arranged after detailed report has been received by the TWO reviewers and reviewed by the RC.
- vii. For the open defense, the Vice Chancellor shall appoint any ONE of the two reviewers and RC.
- viii. However, in the case of unavailability of either the reviewers or delay from reviewers to submit a review within six months, the Vice Chancellor may appoint another reviewer from the panel.

# 6 Open Defense and Thesis Submission

- The panel for open defense formed by Vice Chancellor will examine the research work. Research scholar must present the thesis and appear in the viva-voce.
- Research scholar has to satisfy the comments given by the reviewers during the final defense.
- A notification will be published on Darshan University website regarding open invitation to attend the research work presentation of the scholar.

### 7 Redressal of Grievance

In case of any dispute, the initial communication may be done with the research office. If the dispute is not resolved, it may an escalated to the committee formed to address the



dispute. If the dispute is between a research scholar his/her Research Supervisor/ Department/ Faculty/ University, a Committee consisting of the following shall examine the matter and report to the Vice Chancellor / to the person nominated by the Vice Chancellor of the University, whose decision shall be the final.

- Dean of the Faculty concerned (Chairman);
- Nominee of the Vice Chancellor of the University;
- University Research Coordinator

If the complaint is against the Head/the Dean, he/she shall not participate in the proceedings of the meeting. In that case, additional member/s may be appointed by the Vice Chancellor to replace them.

# 8 Notification of Award of Degree

 Darshan University will issue a notification of award of Ph. D. degree and research scholar will receive the degree in the forthcoming convocation.

Darshan University follows all norms of UGC as and when published by UGC and changes in above regulations will be made accordingly

